

Samuel A. Ramirez & Co., Inc. Online Account Access

Overview

Ramirez Online Account access is a browser-based online securities trade and portfolio management application that displays your entire account picture directly on your PC.

Simple viewing and navigation:

Ability to retrieve current market data (pricing, activity, charts and headlines) for a selected security or index.

Capacity to monitor an account's *performance* against a myriad of industry wide indices.

New *Date Range* feature for trade confirmations.

Summary Report

View a snapshot of your account on the **Summary** report. Access this report from the **Account** menu icon.

The *Summary* report provides a concise view of your account balances, recent activity, top 5 positions by market value, and key market indices.

Summary Report

The screenshot shows the 'Summary Report' page for account 14,2,2,4,8 as of 08/16/2002. The interface includes a navigation menu (Account, Research, Tools, Reports), a search bar, and several data sections. Callouts highlight key features: 'Refresh Indices and Most Active data' points to the 'Update Market Data' button; 'Shortcut to the selected account's Activity report' points to the 'more Activity' link; 'Display pre-defined market watch list of indices and equities' points to the 'Monitor List' link; 'Shortcut to the selected account's Positions report' points to the 'Load Current Positions' link; and 'View current market data for the selected symbol (Executive Quote screen)' points to the 'Executive Quote' link for the selected symbol 'AOL'.

Item	Value	Item	Value
Net Equity	\$15,088,632.00	Market Value	\$9,159,107.00
Buying Power	\$3,876,551.00	Net Trade Date Bal	\$5,940,885.05
Cash Available			44,248.01

Index	Last	Chg	% Chg
DJIA	8777.85	(40.29)	(0.46)
S&P 500	928.83	(1.42)	(0.15)
NASDAQ	1359.53	14.52	1.08

Date	Trans.	Quantity	Symbol	Price	Amount	Type
8/16/02					\$ 45,828.61	Margin
8/15/02	JNL		NOK		\$ (7,489.27)	Margin
8/15/02	JNL		AOL		\$ (6,610.30)	Margin
8/15/02	Sell	-2,000	AOL	11.9625	\$ 23,804.27	Margin
8/15/02	Sell				4.21	Margin

Quantity	Symbol	Description	Price	Mkt. Value	Type
250,000		OCEAN CITY N J BRD ED G/O	103.7040c	259,260	Cash
250,000		ELIZABETH N J G/O	101.8630c	254,658	Cash
250,000		PORT AUTH N Y & N J ONE	100.8630h	252,158	Cash
250,000		PUERTO RICO COMM'LTH G/O RFDG	100.5820c	251,455	Cash
230,000		NEW JERSEY HEALTH CARE FACS	104.4780h	240,299	Cash

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Activity Report

The **Activity** report displays detailed account information about cash and margin trades. (All information displayed reflects intra-day activity.) Access this report from the **Account** menu icon.

Utilize hyperlinks to customize the report, to download information into another application or to view the *Executive Quote* screen.

Activity Report

The screenshot shows the 'Activity' report for account RAMIREZ. The interface includes a navigation bar with 'Account', 'Research', 'Tools', and 'Reports'. A secondary bar contains links for 'SUMMARY', 'ACTIVITY', 'POSITIONS', 'BALANCES', '1099 REPORT', and 'STATEMENTS'. The account name 'RAMIREZ' is visible in the top left. A search bar contains '80812345 / John Doe' and 'U\$'. A callout box explains that clicking the search bar and 'GO' filters activity by a single security. Another callout points to a 'Customize' link, explaining it allows users to tailor the report's display. A third callout points to a 'Download' link, explaining it allows users to export data to Excel or CSV. The main table lists transactions with columns for Date, Type, Quantity, Description, Price, Amount, and Date. A callout points to a blue hyperlink (e.g., 'NOK') in the description, explaining it links to the current market data for that symbol.

	Quantity	Description	Price	Amount	
8/16/02	Margin	*** TRADES SETTLING TODAY ***		\$ 45,828.61	8/16/02
8/15/02	Margin JNL	NOK ***NOKIA CORP-SPONSORED ADR REPSTG 1 SER A FM 5 PAR PROCEEDS S/D 08/15/02		\$ (7,489.27)	8/16/02
8/15/02	Margin JNL	AOL AOL WITHHOLDING SALE PROCEEDS S/D 08/15/02		\$ (6,610.30)	8/16/02
8/15/02	Margin Sell	-2,000 AOL AOL TIME WARNER INC AVG PRICE SHOWN-DETAILS ON REGACTUAL CONFIRM TO YOUR AGENT	11.9625	\$ 23,804.27	8/15/02 8/20/02
8/15/02	Margin Sell	-2,000 NOK ***NOKIA CORP-SPONSORED ADR REPSTG 1 SER A FM 5 PAR AVG PRICE SHOWN-DETAILS ON REGACTUAL CONFIRM TO YOUR AGENT	12.9625	\$ 25,804.21	8/15/02 8/20/02
8/15/02	NonPurp JNL	AOL AOL TIME WARNER INC POTENTIAL TEFRA WITHHOLDING SALE PROCEEDS S/D 08/15/02		\$ 6,610.30	
8/15/02	NonPurp JNL	NOK ***NOKIA CORP-SPONSORED ADR REPSTG 1 SER A FM 5 PAR POTENTIAL TEFRA WITHHOLDING SALE PROCEEDS S/D 08/15/02		\$ 7,489.27	

Positions Report

The **Positions** report displays the selected account's holdings. Access this report from the **Account** menu icon.

Holdings may be displayed as "Current" (based upon day, 20-minute delay pricing) or "Start of Day" (based upon the prior business day's close).

Entitled users will view P&L information (profit or loss which has been made but not yet realized through a transaction).

Non-entitled users will view Estimated Annual Income information.

The Positions Report may also be modified (Customize), and/or downloaded (Download) by clicking on the desired hyperlink.

Positions Report (with P&L)

The screenshot displays a web-based financial application interface. At the top, there are navigation tabs for 'Account', 'Research', 'Tools', and 'Reports'. The 'Account' tab is active, showing the account name 'RAMIREZ' and a user profile '80812345 / John Doe'. A 'Positions for:' dropdown is set to '1,4,2,2,4,8'. Below this, a 'VIEW AS:' dropdown is set to 'Current', with a callout box stating: 'Select either "Current" or "Start of Day" to alter report display'. To the right, there are 'Customize' and 'Download' links, and a 'FILTER' dropdown set to 'All'. A second callout box points to the 'FILTER' dropdown: 'Sort and display holdings by type (All, Cash or Margin)'. The main table lists various holdings with columns for 'Type', 'Description', 'Price', 'Cur Mal Value', 'Margin', and 'Income'. A callout box points to the 'AOL' symbol in the 'Description' column: 'Hyperlink to current market data for the selected symbol (Executive Quote screen)'. The table contains 12 rows of data for various stocks and bonds.

Type	Description	Price	Cur Mal Value	Margin	Income
Margin	***ANGLOGOLD LTD (NEW) SPONSORED ADR	23.7000c	71,100		3,450
Margin	AENPRE ABN AMRO CAPITAL FUNDING TRUST II NON-CUM TR	25.1200c	50,240		3,562
Cash	PF0 SEC 7.125% (BOOK ENTRY)				
Cash	AOL AOL TIME WARNER INC	12.5800	100,640		0
Cash	AOL AOL TIME WARNER INC	12.5800	125,800		0
Margin	AWE AT&T WIRELESS SERVICES INC	4.4200c	88,400		0
Margin	BSFPRQ ***BSCH FINANCE LTD BANCO SANTANDER 8.625% SER Q NON CUM GTD PREF SHS	25.1000c	50,200		4,312
Cash	CX ***CEMEX S A SPONSOR ADR NEW REP ORD PARTN FOR A & B SHS	24.5400	122,700		5,120
Cash	KCH CORTS TR CORNING NTS CORP-BKD TR SECS CL A CTF 8.0% DUE 4/4/25	10.4500	20,900		4,000
Margin	GLW CORNING INC	1.5800	39,500		0
Margin	DPH DELPHI CORP (FORMERLY DELPHI AUTOMOTIVE SYSTEMS CORP)	9.0	18,000		560
Margin	FVCPRA FW PFD CAP TR I 9% PFD SECS SER I-DUE 1/15/29 (BOOK ENTRY)	2.5000c	2,500		938
Margin	GC ***GOLDCORP INC NEW	9.1600	45,800		250
Margin	GM GENERAL MOTORS CORP COM	45.5300	91,060		4,000