

Certificate of Trustee(s) Authority

To Open and Administer Securities Accounts

(Only to be used for Non-ERISA Trusts)

- Complete all sections, sign and return.
- Unless otherwise requested, please attach only the first actual page (not title page), the signature page(s) and any relevant pages of the trust agreement to confirm the identity of the trustees.

Account Number(s)

Name of Trust:	Advisor (if applicable):
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Date of Trust: _____ **Name of Trustee(s):** _____

THE UNDERSIGNED TRUSTEES HEREBY CERTIFY THAT:

1. **This trust is (check one):** Revocable Irrevocable Testamentary
2. **Opening and Administering of Securities Accounts.** The trust agreement for the trust authorizes the trustees to open and administer securities account(s) on behalf of the trust. All documentation may be executed by (check one only):

any trustee individually the following trustee(s) (print names): Check box if joint signatures required:

all trustees jointly _____

a majority of the trustees jointly _____

We, the trustees, understand that you, as the broker-dealer and/or your clearing agent, reserve the right to require the joint action of all trustees with respect to any activity relating to the trust account.

3. **Investment Decision Making.** The trust agreement for the trust authorizes investment decisions to be made by (check one):

any trustee individually the following trustee(s) (print names): Check box if joint signatures required:

all trustees jointly _____

a majority of the trustees jointly _____

4. **Third Party Investment Decision Making.** In addition to the trustees, as described above, we, the trustees, represent and warrant that, the trust (check one):

does* (specify below) does not

authorize the trustees, under the trust agreement and applicable law, to appoint or hire account executives and/or investment advisors or money managers to manage and have discretion with respect to the assets of the trust. The trust agreement for the trust authorizes investment decisions to be made by (check at least one):

An Investment Advisor or Money Manager.

An Attorney-in-fact (do not check if representation 10 provides to the contrary).

The account executive for this account who has discretion over the account.

5. **Permitted Investments.**

a. We, the trustee(s), represent and warrant that (a) we have broad investment powers under the Trust Agreement and applicable law to enter into transactions, purchase, sell and hold investments, including but not limited to, stocks, bonds, mutual funds, certificates of deposit, unit investment trusts, annuities, limited partnerships and government securities, (b) there are no investment restrictions applicable to the trust and (c) any instruction we give pertaining to the Account is authorized by the Trust Agreement.

- b. **Option Trading.** The trust agreement for the trust (check one):

does* (specify below) does not

authorize the trustee(s) to trade in options including but not limited to the following option strategies:

covered options

long options

uncovered options

* Additional documentation required.

