

OFFICE # _____ ACCOUNT # _____ T _____ CK _____ ACCOUNT EXECUTIVE # _____ GROUP # _____

A. ACCOUNT TYPE

- Individual
- JTWROS
- Tenants in Common
- Tenants by Entirety
- Transfer on Death
- IRA
- IRA ROTH
- IRA KEOUGH
- SEP IRA
- IRA Education
- IRA Guardian
- IRA Rollover
- Escrow Individual
- UGMA
- UTMA
- Other Specify: _____

CLASS CODE _____ SUB CLASS CODE _____ ACCOUNT TAX ID _____ GOVT. ISSUED ID [if no tax ID] _____

B. ACCOUNT NAME/ TITLE (In Full):

Telephone: _____

C. ACCOUNT MAILING ADDRESS

(If this is a P.O. Box, provide physical address in Section D)

D. PERMANENT ADDRESS

E. ACCOUNT INFORMATION

INVESTMENT OBJECTIVE: _____

ACCOUNT WILL BE TRADING

- Cash Margin Short Futures (Complete Futures Addendum)

NET WORTH: _____ ANNUAL INCOME: _____

INVESTMENT EXPERIENCE: None Low Med High

INVESTMENT ADVISOR NAME & ADDRESS (If Applicable)

NAME & BUSINESS ADDRESS OF PERSON WITH TRADING AUTHORITY (If Applicable)

Title: _____

SELL INSTRUCTIONS: 07 Hold Proceeds 08 Send to Customer

DIVIDENDS: Send Check Hold Income Acct. Direct Dep.

NAME OF AE: _____ Phone: _____

AE Trading Authority?: Yes No

AE Registered in Customer's State of Residence? Yes No

Is AE Related? Yes No State Relationship: _____

Account Introduced to AE by: _____

AE Known How Long?: _____

Trading Authorization will be: Limited Gen. Pwr. of Atty.

Initial Transaction: Shares Deposit AMOUNT: _____

Reason for Waive of Deposit: _____

Bank and other References: _____

Have you sent forms to Customer?: Yes No

Does Customer have another account with us?: Yes No

Account Numbers: _____

ACCOUNT # _____

F. CLIENT / BENEFICIAL OWNER

This information must be filled out for EACH Individual. Please use a separate page for each Individual.

For **US Individual**: No documentation required at account opening. A copy of a driver's license or other valid, government-issued picture ID may be requested for verification purposes upon review of the account.

For **Non-US Individuals**: Please attach to this form a copy of a valid, government-issued picture ID evidencing nationality for each Individual. The documentation must be presented prior to account opening.

For **Trading Authority / Power of Attorney** complete Questions 1-6.

1. Name [First, Middle, Last Name]:	2. Relation to Account [see definitions page 4]:
3. Principal Business Address: Business Phone:	4. Business Mailing Address [if different from Principal Business]:
5. Is this individual a current or former Foreign Political Figure, an Immediate Family Member of Known Close Associate? [See definition on Page 4] <input type="checkbox"/> No. <input type="checkbox"/> Yes. Contact your Compliance Officer and the Anti-Money Laundering Group before conducting trading activity.	
6. Is any Citizenship or Address associated with this individual located in a High Risk Jurisdiction? [See list on page 5] <input type="checkbox"/> No. <input type="checkbox"/> Yes. Contact your Compliance Officer and the Anti-Money Laundering Group before conducting trading activity.	
7. Has an Account for this Individual been established since 10/01/03? <input type="checkbox"/> Yes <input type="checkbox"/> No If Yes, list Account Numbers:	8. SSN or other Valid Gov't Issued ID No: Type of ID: Country of Issuance:
9. Estimated Net Worth (US\$):	10. Annual Income (US\$):
11. Sources of Wealth: <input type="checkbox"/> Employment <input type="checkbox"/> Inheritance <input type="checkbox"/> Investment <input type="checkbox"/> Other (explain)	12. Sources of Assets on Deposit: <input type="checkbox"/> Employment <input type="checkbox"/> Inheritance <input type="checkbox"/> Investment <input type="checkbox"/> Other (explain)
13. Country of Citizenship:	14. Date of Birth:
15. Home Address: Home Phone:	16. Mailing Address: [if different from Home Address]
17. Occupation: Employment Status: <input type="checkbox"/> Employed <input type="checkbox"/> Self-Employed <input type="checkbox"/> Unemployed If employed, Employer's Name: Member Firm? <input type="checkbox"/> Yes <input type="checkbox"/> No	18. Marital Status: <input type="checkbox"/> Single <input type="checkbox"/> Married If married, Spouse's Occupation:

G. INTERESTED PARTIES/ PROXY:

Do you want to add Proxy IP to this account? Y N

PROXY/IP

I/P # 1 # CONFIRMS _____ #STATEMENTS _____

I/P # 2 # CONFIRMS _____ #STATEMENTS _____

I/P # 3 # CONFIRMS _____ #STATEMENTS _____

H. I HAVE MADE THE FOLLOWING DISCLOSURE TO THIS CUSTOMER: Yes No

This Firm is committed to complying with U.S. statutory and regulatory requirements designed to combat money laundering and terrorist financing. The USA PATRIOT Act requires that all financial institutions obtain certain identification documents or other information in order to comply with their customer identification procedures. Until you provide the required information or documents, we may not be able to open an account or effect any transactions for you.

I certify that I have received and reviewed the requisite information and documentation for this account in accordance with JP Morgan's anti-money laundering procedures and have conferred with the Registered Representative and the Branch Manager/Compliance Officer/Other Authorized Party concerning the results of such review.

Signature of AML Customer Identification Specialist: _____ Date: _____

Account Opened by: _____ Telephone Number: _____

Signature of Registered Representative: _____ Date: _____

Signature of Registered Principal: _____ Date: _____

For AML DUE DILIGENCE GROUP USE ONLY

Date Received: _____

Name of Reviewer: _____

Risk Level: _____

Approved by: _____

Date: _____

Commercial Vendor ID Verification: Positive Negative Inconclusive

If Negative or Inconclusive:
Valid Gov't Issued Picture ID Requested on Date: _____

Final ID Approval: Yes No

CLIENT / BENEFICIAL OWNER / AUTHORIZED PARTIES

Customer: The nominal owner of an account.

Custodian: Under the Uniform Transfer (or Gift) to Minors Act, the person appointed to manage and dispense funds for a child.

Guardian: A person or entity named in a will, guardianship, or court order who is responsible for the care of minor children or incompetent adults.

Conservator: A person or entity appointed by a court to manage the property and financial affairs of another (usually incompetent) person.

Investment Advisor: A person who manages assets, making portfolio composition and individual security selection decisions.

Power of Attorney: An instrument by which one person authorizes another to act for him in a manner which is as legally binding upon the person giving such authority as if he personally were to do the acts.

Principal: A person who designates another to act as his/her attorney in fact or agent.

Proxy: Only a person or entity registered as an investment adviser, either under the Investment Advisers Act of 1940 or under the laws of a state, who exercises investment discretion pursuant to an advisory contract for the beneficial owner and has been designated in writing by the beneficial owner to vote the proxies for stock which is in the possession or control of the member organization, may receive and vote such proxies.

Trading Authority: A person who is authorized to conduct trades and provide instructions for a customer's account.

Foreign Political Figure: A "Foreign Political Figure" is an official of a foreign government, an official of a major foreign political party or an executive of a foreign government-owned corporation. In addition, a Foreign Political Figure includes any corporation or other business formed by, or for the benefit of, a Foreign Political Figure. An "Immediate Family Member" of a Foreign Political Figure includes the person's parents, siblings, spouse, children and in-laws. A "Known Close Associate" of a Foreign Political Figure is a person who is widely and publicly known (or who is actually known by JP Morgan or the introducing broker-dealer) to maintain a close personal or professional relationship with a Foreign Political Figure.

Country & Jurisdiction Tables – Effective Date 05/10/2008 - Public

PROHIBITED	HIGH RISK			MEDIUM RISK	AML EQUIVALENTS
Burma (Myanmar)	Algeria	Jordan	St. Kitts & Nevis	Bahamas	Australia
Cuba	Andorra	Kazakhstan	Syria	Bahrain	Austria
Iran	Anguilla	Kenya	Thailand	Barbados	Belgium
Sudan	Antigua and Barbuda	Kuwait	Trinidad & Tobago	Brazil	Bermuda
	Argentina	Latvia	Tunisia	British Virgin Islands	Canada
	Armenia	Lebanon	Turkmenistan	Cayman Islands	Denmark
	Aruba	Liberia	Turks & Caicos	Chile	Finland
	Azerbaijan	Liechtenstein	Uganda	China	France
	Belize	Lithuania	Ukraine	Czech Republic	Germany
	Bolivia	Macau	United Arab Emirates	Estonia	Greece
	Botswana	Madagascar	Uruguay	Guernsey	Hong Kong
	Brunei	Marshall Islands	Vatican City	Hungary	Iceland
	Bulgaria	Micronesia	Venezuela	India	Ireland
	Cape Verde	Moldova	Vietnam	Isle of Man	Italy
	Central African Republic	Mongolia	Western Sahara	Israel	Japan
	Colombia	Montserrat	Yemen	Jersey	Luxembourg
	Cook Islands	Morocco	Yugoslavia -FR	Malaysia	Netherlands
	Costa Rica	Netherlands Antilles		Malta	New Zealand
	Cote D'Ivoire	Nicaragua		Mauritius	Norway
	Croatia	North Korea		Mexico	Portugal
	Cyprus	Pakistan		Monaco	Singapore
	Dominican Republic	Panama		Oman	Spain
	Ecuador	Paraguay		Peru	Sweden
	Egypt	Philippines		Qatar	Switzerland
	El Salvador	Poland		Slovakia	United Kingdom
	Gambia	Romania		Slovenia	
	Georgia	Russia		South Africa	
	Gibraltar	San Marino		South Korea	
	Grenada	Saudi Arabia		Taiwan	
	Guatemala	Sri Lanka		Turkey	
	Guyana				
	Haiti				
	Honduras				
	Indonesia				
	Jamaica				

* All Jurisdictions not listed above require pre-approval by the Anti-Money Laundering Group. Please contact your Compliance Officer or the Anti-Money Laundering Group.